

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): **Girsh Blumberg**
Case: **5**
Serial No.: **Unknown**
Filing Date: **April 13, 2004**
Examiner: **Unknown** Group Art Unit: **Unknown**
Title: **Plasmon-Enhanced Tapered Optical Fibers**

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

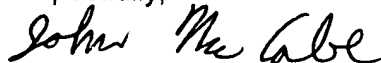
Copies of the listed documents are enclosed.

With respect to 37 CFR 1.98(a)(3), Applicant notes that foreign-language document WO 01/52329 A1, i.e., attached Reference AL, includes an English abstract.

NO FEE IS REQUIRED

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Lucent Technologies Deposit Account No. 12-2325** as required to correct the error.

Respectfully,



John F. McCabe, Attorney Reg. No. **42854**
908-582-6866

Date: April 12, 2004

Docket Administrator (Room 3J-219)
Lucent Technologies Inc.
101 Crawfords Corner Road
Holmdel, NJ 07733-3030

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|---|--------------|----------------|
| INFORMATION DISCLOSURE STATEMENT | Case Name. | G. Blumberg 5 |
| | Serial No. | |
| | Applicant: | Girsh Blumberg |
| | Filing Date: | April 13, 2004 |
| | Group: | |

U.S. PATENT DOCUMENTS

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date |
|-------------------|----|-----------------|------------|-----------------|-------|----------|-------------|
| | AA | 5,789,742 | 8/4/1998 | Wolff | 250 | 227.11 | 10/28/1996 |
| | AB | 5,789,743 | 8/4/1998 | Van Rosmalen | 250 | 234 | 2/6/1997 |
| | AC | 5,994,691 | 11/30/1999 | Konada | 250 | 234 | 12/2/1997 |
| | AD | 6,040,936 | 3/21/2000 | Kim et al. | 359 | 245 | 10/8/1998 |
| | AE | 6,052,238 | 4/18/2000 | Ebbesen et al. | 359 | 738 | 4/1/1999 |
| | AF | 5,973,316 | 10/26/1999 | Ebbesen et al. | 250 | 216 | 11/26/1997 |
| | AG | 6,236,033 | 5/22/2001 | Ebbesen et al. | 250 | 216 | 12/9/1998 |
| | AH | 6,285,020 | 9/4/2001 | Kim et al. | 250 | 216 | 11/5/1999 |
| | AI | 10/350,780 | | Blumberg et al. | | | 1/24/2003 |
| | AJ | 2002/0056816 | 5/16/2002 | Stark | 250 | 493.1 | 10/16/2001 |
| | AK | 2003/0036204 | 2/20/2003 | Stark et al. | 436 | 172 | 8/14/2002 |

FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Subclass | Translation |
|--|----|--------------------|------------|---------|-------|----------|-------------|
| | AL | WO 01/52329 A1 | 7/19/2001 | PCT | H01L | 31/108 | No |
| | AM | WO 02/095070 A2 | 11/28/2002 | PCT | C12Q | 1/68 | Yes |
| | AN | WO 03/019245 A2 | 3/6/2003 | PCT | G02B | 5/18 | Yes |

OTHER (including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | AO | "Learn NSOM" published online at www.Nanonics.co.il by Nanonics Imaging Ltd. prior to April 12, 2004 (4 pages) |
| | AP | "NSOM System Comparisons" published online at www.Nanonics.co.il by Nanonics Imaging Ltd. prior to April 12, 2004 (11 pages) |
| | | |

***References listed beyond AZ would list as AA-1, AB-2, AC-3 thru AZ-26.

***Note First Page ONLY Header/Footer. Subsequent pages must ONLY have page # layout as header

| | |
|-----------------|------------------------|
| EXAMINER | DATE CONSIDERED |
| | |

***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant